



月供股票計劃表格

Monthly Stocks Investment Plan Form

申請人資料 Particulars of Applicant

賬戶號碼 Account No. : _____ 賬戶名稱 Account Name : _____

香港身份證/護照號碼 HKID No. / Passport No. : _____ 聯絡電話號碼 Contact No. : _____

新增/更改投資指示之生效日期 Effective Date of Monthly Stocks Investment Plan Instruction : _____

請在適當的方格打上 ✓ 號。 Please ✓ the appropriate box.

新增股票 Add Stock

股份代號 Stock Code	股份名稱 Stock Name	貨幣 Currency	每月投資金額 Monthly Investment Contribution ¹

更改現有月供股票計劃 Amendment of Existing Investment Portfolio

股份代號 Stock Code	股份名稱 Stock Name	貨幣 Currency	更新每月投資金額 Amended Monthly Investment Amount ¹

終止投資指示 Termination of Investment Instruction

本人現給予不少於七個工作天的通知，申請終止以下月供股票之投資指示。 I hereby terminate the below Investment Instruction(s) by giving you this no less than 7 business days advanced notice

股份代號 Stock Code	股份名稱 Stock Name

備註 Remarks:

- 每隻股票的最低投資金額為每月港幣一千元，該投資金額已包括手續費、印花稅、香港交易所交易費、證監會交易徵費、交收費及系統費。 Minimum investment for each stock is HK\$1,000 per month. All handling fee, stamp duty, HKEX trading fee, transaction levy, settlement fee and trading tariff are included in investment amount.
- 客戶須先開設雅利多證券賬戶方可參與本計劃。 Customers must open an Aristo securities trading account.
- 如該月交收戶口資金不足，該月之購入股票指示將被自動取消。如連續三個月交收資金不足，其參與之計劃將被自動終止，並將被收取服務費用。 If there is insufficient fund in the customer's stock settlement account, the order for that month will be cancelled automatically. If the order cannot be executed for 3 consecutive months, the plan will be automatically terminated. A service charge will be imposed.
- 如客戶透過本計劃所購入之股票的股息金額，並不足以繳付該服務所需之手續費，該筆金額將自動被取消。 If the dividend payment amount of the stock is less than the handling fee you have to pay for the corporate action, the dividend payment will be cancelled automatically.

申請人聲明 Declaration by Applicant

I, undersigned, hereby confirm I have read, have been explained when requested, and fully understood the attached provisions of "Monthly Stocks Investment Plan - Terms and Conditions" (the "provisions"). I accept and agree to be bound by the said provisions in full, as it may be amended from time to time. 本人，下述簽署客戶，謹此確認本人已閱讀過、得到講解(如有提問)及完全明白附上的「月供股票投資計劃 - 條款及細則」內所載的一切條款及本人接受及同意受該等條款(可不時被修訂)所約束。

客戶簽署 Authorized Signature(s) :
(如屬公司客戶請連印章 for corporate with Company chop)

*****此部份由本公司填寫 For Office Use Only*****

製作 Prepared by	簽署查核 Signature Checked by	批准 Approved by
日期 Date:	日期 Date:	日期 Date:

日期 Date: _____

月供股票投資計劃 Monthly Stocks Investment Plan

條款及細則 Terms and Conditions

1. 客戶須填妥「月供股票投資計劃表格」，授權雅利多證券每月定期為客戶買入指定金額等值的股票。Customer is required to submit the Monthly Stocks Investment Plan Form to authorize Aristo Securities Limited to purchase the equivalent amount of shares on behalf of customer per month.
2. 除非另行申明，雅利多證券會於每月第 5 個曆日的上午 9 時 30 分至上午 10 時期間，按當日市價代所有月供股票投資計劃的客戶買入股票，若當月第 5 個曆日並非交易日，交易將會順延至最近交易日執行。Aristo Securities Limited will buy stock from 9:30 a.m. to 10:00 a.m. in the market on the fifth calendar day per month. Aristo will buy stock from the market in the following trade day if the fifth calendar day is not a trade day.
3. 客戶必須持有證券賬戶以開立月供股票計劃。To set up a "Monthly Stocks Investment Plan", customers must have a securities account.
4. 如雅利多證券連續三期均未能成功從客戶的證券結算賬戶扣取供款，本公司有權即時終止有關計劃而不作任何通知。If the required contribution amount could not be debited by Aristo Securities Limited from the customer's securities settlement account for three consecutive months, Aristo Securities Limited will have the rights to terminate the relevant plan without prior notice.
5. 交易執行日當天及其後第一個交易日不接受新增月供股票計劃。Adding new plan(s) is/are not accepted on the transaction day or the next stock trading day after the transaction day.
6. 同一計劃最多可選擇 5 隻股票。A maximum of 5 stocks can be selected under the same plan.
7. 如要調整供款金額或更改股票組合，客戶需於下一個交易執行日的三個營業日前通知本公司。To adjust the contribution amount or the stock portfolio, the customer is required to inform Aristo Securities 3 business days before the next transaction day.
8. 雅利多證券保留隨時修訂、暫停及取消上述服務，及修訂有關條款及細則的酌情權而毋須事先通知。Aristo Securities Limited reserves the right to amend, suspend and terminate the above products and services, and to amend the relevant terms and conditions at any time at its sole discretion without prior notice.
9. 如有任何爭議，雅利多證券保留最終決定權。In case of any dispute(s), the decision of Aristo Securities Limited shall be final.
10. 如本條款及細則的中、英文版本有任何歧異，一概以英文版本為準。In case of any discrepancy(ies) between the Chinese and English version of these terms and conditions, the English version shall prevail.

平均成本法 Dollar Cost Averaging

「月供股票計劃」的優點在於採用「平均成本法」的投資方式，即在股票價格較低時購入較多股票，而在價格較高時則購入較少股票。在長線投資策略下，讓您毋須擔心短期的市場波動，平衡整體投資效益。The advantage of "Monthly Stocks Investment Plan" is based on the concept of "Dollar Cost Averaging". You can acquire more shares when the stock price goes down and less shares when the stock price goes up. With this long term investment strategy, you do not have to worry about short term market fluctuations, but with more balanced overall investment effectiveness.

例子：(以港元例子作參考) Example (HKD example for reference)

投資月份 Contribution Month	每月投資金額 Contribution Amount	扣除手續費後的投資淨額 Contribution Amount After Deducting Handling Fee	每股購入價 Purchase Price per Share	購入股數 Number of Shares Bought	投資淨額 Net Amount
一月 January	\$1,000	\$950	\$15	63	\$945.00
二月 February	\$1,000	\$950	\$14	67	\$938.00
三月 March	\$1,000	\$950	\$14.5	65	\$942.50
四月 April	\$1,000	\$950	\$15.5	61	\$945.50
總購入股數 Total Shares Bought				256	\$3,771.00

$$\text{投資淨額} \div \text{總購入股數} \quad \text{Net contribution amount} \div \text{Total units bought} = \text{平均成本價格} \quad \text{Average cost per unit}$$
$$\$3,771 \div 256 = \$14.73$$

風險聲明 Risk Disclosure

以下風險披露聲明不能披露所有涉及的風險。在進行交易或投資前，您應負責本身的資料蒐集及研究。您應按本身的財政狀況及投資目標謹慎考慮是否適宜進行交易或投資。本行建議您於進行交易或投資前應尋求獨立的財務及專業意見。假如您不確定或不明白以下風險披露聲明或進行交易或投資所涉及的性質及風險，您應尋求獨立的專業意見。The following risk disclosure statements cannot disclose all the risks involved. You should undertake your own research and study before you trade or invest. You should carefully consider whether trading or investment is suitable in light of your own financial position and investment objectives. You are advised to seek independent financial and professional advice before you trade or invest. You should seek independent professional advice if you are uncertain of or have not understood any aspect of the following risk disclosure statements or the nature and risks involved in trading or investment.

證券價格有時可能會非常波動。證券價格可升可跌，甚至變成毫無價值。買賣證券未必一定能夠賺取利潤，反而可能會招致損失。The prices of securities fluctuate, sometimes dramatically. The price of a security may move up or down, and may become valueless. It is as likely that losses will be incurred rather than profit made as a result of buying and selling securities.