

更新個人及公司客戶的客戶識別信息

根據相關規定，閣下請確保已向本公司提供最新的客戶識別信息：

A. 就個人客戶而言：

- 身分證明文件上所示的全名；
- 身分證明文件的簽發國家或司法管轄區；
- 身分證明文件類別（按以下優先次序排第：(1)香港身份證；(2)國民身分證明文件；(3)護照）；及
身分證明文件的身分證明文件號碼。

B. 就公司客戶而言：

- 身分證明文件上所示的全稱；
- 身分證明文件的簽發國家或司法管轄區；
身分證明文件類別（按以下優先次序排第：(1)法律實體識別編碼（LEI）登記文件；(2)公司註冊證明書；(3)商業登記證；(4)其他同等文件）；及身分證明文件的身分證明文件號碼。

C. 就屬信託的客戶而言：

- 受託人的客戶識別信息（應與上述公司或個人客戶的客戶識別信息相同）；
然而，若信託是一個投資基金（即集體投資計劃），則應收集在相關受規管中介人開立交易帳戶的資產管理公司或個別基金（視何者適用而定）的客戶識別信息。

D. 就聯名帳戶的客戶而言：

- 應提供屬同一券商客戶編碼的聯名帳戶內所有客戶的客戶識別信息（與上述建議規定一致，視乎客戶的性質而定）。

若閣下的識別信息有任何更新，請填妥附件表格（客戶識別信息更新表格）並通知雅利多作出更改。

Updating individual and corporate clients' CID

According to relevant regulations, you should ensure that the CID has provided to Aristo and is up-to-date:

A. For an individual client, his or her:

- Full name as shown on his or her identity document;
- Identity document's issuing country or jurisdiction;
- **Identity document type (order of priority: (1) HKID card; (2) national identification document; (3) passport);** and
- Identity document number on the identity document.

B. For a corporate client, its:

- Full name as shown on its identity document;
- Identity document's issuing country or jurisdiction;
- **Identity document type (order of priority: (1) LEI registration document; (2) certificate of incorporation; (3) business registration certificate; (4) other equivalent documents);** and
- Identity document number on its identity document.

C. For a client that is a trust:

- The CID of the trustee, which should be the same as that of a corporate or individual client as set out above;
- However, in the case of a trust which is an investment fund (i.e. collective investment schemes), the CID of the asset management company or the individual fund, as appropriate, which has opened a trading account with the Relevant Regulated Intermediary.

D. For clients of a joint account:

- The CID for all clients (in line with the above proposed requirements, depending on the nature of the client) named for a joint account should be provided under the same BCAN assigned to that account.

If you have any updates, please fill in the attached form (Client Identification Data update form) and notify Aristo the changes.